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Date: 5-19-00

By: Larry Thon

Docket No. 4600-0130.30

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Moon Young Lim, et al.

SERIAL No.: 09/518,297

FILED: March 3, 2000

FOR: DNA BINDING COMPOUND-MEDIATED  
MOLECULAR SWITCH SYSTEM

EXAMINER: Unknown

ART UNIT: 1643

Response to Notice to File Missing  
Parts of Application

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. In response to the Notice to File Missing Parts mailed May 5, 2000 (copy enclosed), applicant submits the following:

- ☒ An Executed Declaration of Inventorship
- ☒ A Power of Attorney by Assignee
- ☒ A Verified Statement Claiming Small Entity Status
- ☒ A Preliminary Amendment
- ☐ An Information Disclosure Statement, Form 1449, and references (if appropriate)
- ☒ A Sequence Listing printout, floppy diskette, Matching Declaration under 37 CFR §1.821, and copy\* of Sequence Listing Notice
- ☒ a copy of the an assignment recordation cover sheet (Form PTOL-1595) for assignment(s) being filed separately.

## FORMALITIES LETTER



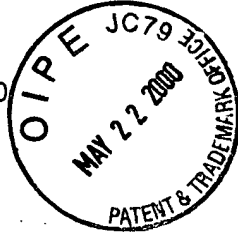
\*OC00000005097872\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/518,297	03/03/2000	Moon Young Lim	4600-0130.30

22918  
IOTA PI LAW GROUP  
350 CAMBRIDGE AVENUE SUITE 250  
P O BOX 60850  
PALO ALTO, CA 94306-0850



Date Mailed: 05/05/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.  
*Applicant must submit \$ 150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$938.
  - \$522 for 29 total claims over 20.
  - \$156 for 2 independent claims over 3.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1218.**

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*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

4/24/2000 00000009 09518297

01 FC:205 65.00 OP  
02 FC:204 130.00 OP